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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/334,424	06/16/1999	YASUTOMO NISHINA	450100-4916	5326

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NEW YORK, NY 10151

EXAMINER
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SALCE, JASON P

ART UNIT	PAPER NUMBER
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2614

DATE MAILED: 10/04/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 09/334,424	<b>Applicant(s)</b> NISHINA ET AL.	
	<b>Examiner</b> Jason P. Salce	<b>Art Unit</b> 2614	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 25 July 2005.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-15, 17, 19 and 21-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-15, 17, 19 and 21-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>6/04</u> . | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Response to Arguments*

1. Applicant's arguments filed 7/25/2005 have been fully considered but they are not persuasive.

The claim limitations still read on the prior art of record used to reject the claims in the previous Office Action.

In regards to the information transmission apparatus, the claims have been amended to recite the limitations, "a video data occupation bandwidth, an audio data occupation bandwidth, and a program data occupation bandwidth in relation to a transmission channel bandwidth".

The examiner notes that these limitations still read on the Matsuzaki reference of record. Matsuzaki discloses at Column 4, Lines 45-49 that multiplexing section 34 multiplexes a plurality of media encoded bit streams 75 for each packet (which can include video, audio and data). Matsuzaki further discloses that the multiplexing control indicates preferentially multiplexing of the information with higher priority by controlling on/off of the multiplexing according to the priority for each encoded bit stream 75 (see Column 4, Lines 63-67). For further support, note Column 6, Line 60 through Column 7, Line 16 for multiplexing according to a priority table. Therefore, a video, audio and data occupation bandwidth is clearly controlled.

In regards to the information receiving apparatus, the claims have been amended to recite the limitations, "control means for controlling a data acquisition time and for

controlling operations of the separating means and the storing means in accordance with a transmission rate of the program information and the data acquisition time".

The examiner notes that these limitations still read on the Eyer reference of record. Eyer teaches the use of time slots (see Column 7, Lines 1-8) and that packets are filtered according to the page and time slot of interest (see Column 7, Lines 9-26), therefore controlling a data acquisition time of the program information. Also note Column 2, Lines 28-39 for further support.

Therefore, the rejection stands and the added limitations are addressed below in the Final Office Action.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-14 and 22-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matsuzaki et al. (U.S. Patent No. 6,522,672) in view of Eyer et al. (U.S. Patent No. 5,801,753).

Referring to claim 1, Matsuzaki discloses an information transmitting apparatus, which transmits a plurality of signals (see Figure 1), said signals including at least video signals and audio signals (see Column 4, Lines 45-48), to an information receiving apparatus (see Column 9, Lines 24-25 for receiving information at a receiver).

Matsuzaki also discloses a plurality of encoding means for separately encoding each of said video signals and each of said audio signals (see elements 82v and 82a in Figure 2).

Matsuzaki also discloses a first multiplexing means for multiplexing a plurality of pairs of encoded signals (see elements 34a through 34n in Figure 3), each pair of encoded signals having one encoded video signal and one encoded audio signal (see Column 4, Lines 41-50).

Matsuzaki also discloses a second multiplexing means for multiplexing the multiplexed plurality of pairs of encoded video signals and encoded audio signals (see Column 5, Lines 66-67 and Column 6, Lines 1-4).

Matsuzaki also discloses a control means for controlling a multiplexing ratio among the plurality of signals in the second multiplexing means (see Column 5, Lines 38-45 and Column 6, Lines 5-9 for the second multiplexing means being controlled by the control means).

Matsuzaki discloses at Column 4, Lines 45-49 that multiplexing section 34 multiplexes a plurality of media encoded bit streams 75 for each packet (which can include video, audio and data). Matsuzaki further discloses that the multiplexing control indicates preferentially multiplexing of the information with higher priority by controlling on/off of the multiplexing according to the priority for each encoded bit stream 75 (see Column 4, Lines 63-67). For further support, note Column 6, Line 60 through Column 7, Line 16 for multiplexing according to a priority table. Therefore, a video, audio and data occupation bandwidth is clearly controlled.

Matsuzaki fails to disclose that the information receiving apparatus is adapted to acquire electronic program guide data only during a data transfer rate increase period.

Eyer teaches a separating and storing program information (trickle data streams and demand data streams of EPG data) according to selections made by the user (if EPG data stored in local memory (trickle data) is selected or EPG data that has to be transmitted to a user (demand data)) at Column 12, Lines 55-67 and Column 13, Lines 1-10 for receiving both types of data at different transmission rates and separating and storing the data accordingly. Also note Column 6, Lines 5-8 for selecting a specific type of EPG data and Column 3, Lines 35-55 for storing EPG data at different rates.

Eyer therefore teaches acquiring electronic program guide data only during a data transfer rate increase period (also see Column 3, Lines 47-51 and Column 5, Lines 62-67 and Column 6, Lines 1-8).

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art, to modify the information receiving apparatus, as taught by Ozkan, using the trickle and demand EPG data transmission rate functionality, as taught by Eyer, for the purpose of improving the responsiveness and user friendliness of the program guide function by ensuring that the memory in a subscriber's decoder always holds a database which is up-to-date for current programming (see Column 5, Lines 64-67 and Column 6, Line 1 of Eyer).

Referring to claim 2, Matsuzaki discloses that the transmitting apparatus transmits the plurality of signals as a single transport stream (see Column 8, Lines 40-44 for transmitting a single transport stream).

Referring to claim 3, Matsuzaki discloses a database means for providing data that relates to transmission rates of the plurality of signals at each time point (see Figure 4 for a priority correlation table for indicating priorities for multiple types of media and Column 7, Lines 20-22 and Column 5, Lines 46-53 for adding time information so that a "time point" can therefore be determined), wherein the control means controls the multiplexing ratio while referring to the database (see Column 8, Lines 1-7).

Referring to claim 4, Matsuzaki discloses that the control means controls an output rate each of the plurality of the encoding means (see again Column 8, Lines 1-7 and note that setting the multiplexer with a priority for either the video, audio or data will control the output rate of that particular piece of information).

Referring to claim 5, Matsuzaki discloses that the pluralities of signals further comprise program information (see Column 4, Lines 45-48).

Referring to claim 6, that Matsuzaki discloses an information transmitting apparatus, which transmits program information (see Figure 1), to an information receiving apparatus (see Column 9, Lines 24-25 for receiving information at a receiver).

Matsuzaki also discloses a plurality of video and audio encoding means (see elements 82a, 82v in Figure 2).

Matsuzaki also discloses a program information data generating means for generating data of the program information (see elements 82d in Figure 1).

Matsuzaki also discloses a first multiplexing means for multiplexing the program information, video and audio (see element 34 in Figure 1 and Column 4, Lines 41-50 for forming pairs of the multiplexed data).

Matsuzaki also discloses a control means for controlling a data output rate of the video, audio and program information by controlling a multiplexing ratio between these data elements (see Column 5, Lines 38-45 and Column 6, Lines 5-9 for the second multiplexing means being controlled by the control means).

Matsuzaki discloses at Column 4, Lines 45-49 that multiplexing section 34 multiplexes a plurality of media encoded bit streams 75 for each packet (which can include video, audio and data). Matsuzaki further discloses that the multiplexing control indicates preferentially multiplexing of the information with higher priority by controlling on/off of the multiplexing according to the priority for each encoded bit stream 75 (see Column 4, Lines 63-67). For further support, note Column 6, Line 60 through Column 7, Line 16 for multiplexing according to a priority table. Therefore, a video, audio and data occupation bandwidth is clearly controlled.

Matsuzaki fails to disclose that the information receiving apparatus is adapted to acquire electronic program guide data only during a data transfer rate increase period.

Eyer teaches a separating and storing program information (trickle data streams and demand data streams of EPG data) according to selections made by the user (if EPG data stored in local memory (trickle data) is selected or EPG data that has to be transmitted to a user (demand data)) at Column 12, Lines 55-67 and Column 13, Lines 1-10 for receiving both types of data at different transmission rates and separating and storing the data accordingly. Also note Column 6, Lines 5-8 for selecting a specific type of EPG data and Column 3, Lines 35-55 for storing EPG data at different rates.



Eyer therefore teaches acquiring electronic program guide data only during a data transfer rate increase period (also see Column 3, Lines 47-51 and Column 5, Lines 62-67 and Column 6, Lines 1-8).

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art, to modify the information receiving apparatus, as taught by Ozkan, using the trickle and demand EPG data transmission rate functionality, as taught by Eyer, for the purpose of improving the responsiveness and user friendliness of the program guide function by ensuring that the memory in a subscriber's decoder always holds a database which is up-to-date for current programming (see Column 5, Lines 64-67 and Column 6, Line 1 of Eyer).

Referring to claims 7-9, see rejection of claims 1, 3 and 5, respectively.

Referring to claim 10-13, see rejection of claims 6-9, respectively.

Referring to claim 14, see rejection of claim 6.

Referring to claims 22-24, see the rejection of claim 1.

3. Claims 15, 17, 19, 21 and 25-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ozkan et al. (U.S. Patent No. 6,111,612) in view of Eyer et al. (U.S. Patent No. 5,801,753) in further view of Matsuzaki et al. (U.S. Patent No. 6,522,672).

Referring to claim 15, Ozkan discloses an information receiving apparatus (see Figure 1), which receives multiplexed program information (see element 22 in Figure 1 and Column 3, Lines 19-20 for demultiplexing a multiplexed program information signal).

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Ozkan also discloses separating means for separating the multiplexed program information (see again Column 3, Lines 19-20 for a demultiplexer used for separating the video, audio and data).

Ozkan also discloses a plurality of decoding means for separately decoding each of the video signals and each of the audio signals (see Column 3, Lines 20-24 for a plurality of decoders used for decoding the separated audio and video).

Ozkan also discloses a storing means for storing the program information separated by the separating means (see Column 3, Lines 53-56).

Ozkan also discloses a control means for controlling operations of the separating means and the storing means (see Column 4, Lines 17-19 for controlling the storing operation from demultiplexer 22 by processor 60 and Column 3, Lines 30-33 for separating a channel for viewing using the processor 60).

Ozkan is silent as to storing and separating program information in accordance with a transmission rate of the program information.

Eyer teaches a separating and storing program information (trickle data streams and demand data streams of EPG data) according to selections made by the user (if EPG data stored in local memory (trickle data) is selected or EPG data that has to be transmitted to a user (demand data)) at Column 12, Lines 55-67 and Column 13, Lines 1-10 for receiving both types of data at different transmission rates and separating and storing the data accordingly. Also note Column 6, Lines 5-8 for selecting a specific type of EPG data and Column 3, Lines 35-55 for storing EPG data at different rates.

Eyer therefore teaches acquiring electronic program guide data only during a data transfer rate increase period (also see Column 3, Lines 47-51 and Column 5, Lines 62-67 and Column 6, Lines 1-8).

Eyer also teaches the use of time slots (see Column 7, Lines 1-8) and that packets are filtered according to the page and time slot of interest (see Column 7, Lines 9-26), therefore controlling a data acquisition time of the program information. Also note Column 2, Lines 28-39 for further support.

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art, to modify the information receiving apparatus, as taught by Ozkan, using the trickle and demand EPG data transmission rate functionality, as taught by Eyer, for the purpose of improving the responsiveness and user friendliness of the program guide function by ensuring that the memory in a subscriber's decoder always holds a database which is up-to-date for current programming (see Column 5, Lines 64-67 and Column 6, Line 1 of Eyer).

Although Ozkan and Eyer disclose receiving a plurality of signals multiplexed together, Ozkan and Eyer are silent as to the program information being comprised of multiplexed pairs of encoded signals, each pair of encoded signals having one encoded video signal and one encoded audio signals.

Matsuzaki teaches a first multiplexing means for multiplexing a plurality of pairs of encoded signals (see elements 34a through 34n in Figure 3), each pair of encoded signals having one encoded video signal and one encoded audio signal (see Column 4, Lines 41-50).

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art, to modify the information receiving apparatus, as taught by Ozkan and Eyer, using the multiplexed pairs of audio and video signals, as taught by Matsuzaki, for the purpose of allowing a receiver to easily edit or process the information (see Column 9, Lines 22-24 of Matsuzaki).

Referring to claim 17, see the rejection of claim 15.

Referring to claim 19, see the rejection of claims 15.

Referring to claim 21, Ozkan discloses an information receiving apparatus (see Figure 1), which receives multiplexed program information (see element 22 in Figure 1 and Column 3, Lines 19-20 for demultiplexing a multiplexed program information signal).

Ozkan also discloses separating means for separating the multiplexed program information (see again Column 3, Lines 19-20 for a demultiplexer used for separating the video, audio and data).

Ozkan also discloses a plurality of decoding means for separately decoding each of the video signals and each of the audio signals (see Column 3, Lines 20-24 for a plurality of decoders used for decoding the separated audio and video).

Ozkan also discloses a storing means for storing the program information separated by the separating means (see Column 3, Lines 53-56).

Ozkan also discloses a control means for controlling operations of the separating means and the storing means (see Column 4, Lines 17-19 for controlling the storing

operation from demultiplexer 22 by processor 60 and Column 3, Lines 30-33 for separating a channel for viewing using the processor 60).

Ozkan is silent as to storing and separating program information in accordance with a transmission rate of the program information.

Eyer teaches a separating and storing program information (trickle data streams and demand data streams of EPG data) according to selections made by the user (if EPG data stored in local memory (trickle data) is selected or EPG data that has to be transmitted to a user (demand data)) at Column 12, Lines 55-67 and Column 13, Lines 1-10 for receiving both types of data at different transmission rates and separating and storing the data accordingly. Also note Column 6, Lines 5-8 for selecting a specific type of EPG data and Column 3, Lines 35-55 for storing EPG data at different rates.

Eyer therefore teaches acquiring electronic program guide data only during a data transfer rate increase period (also see Column 3, Lines 47-51 and Column 5, Lines 62-67 and Column 6, Lines 1-8).

Eyer teaches the use of time slots (see Column 7, Lines 1-8) and that packets are filtered according to the page and time slot of interest (see Column 7, Lines 9-26), therefore controlling a data acquisition time of the program information. Also note Column 2, Lines 28-39 for further support.

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art, to modify the information receiving apparatus, as taught by Ozkan, using the trickle and demand EPG data transmission rate functionality, as taught by Eyer, for the purpose of improving the responsiveness and user friendliness of the

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program guide function by ensuring that the memory in a subscriber's decoder always holds a database which is up-to-date for current programming (see Column 5, Lines 64-67 and Column 6, Line 1 of Eyer).

Although Ozkan and Eyer disclose receiving a plurality of signals multiplexed together, Ozkan and Eyer are silent as to the program information being comprised of multiplexed pairs of encoded signals, each pair of encoded signals having one encoded video signal and one encoded audio signals and are also silent as to the details of the transmitting apparatus.

Matsuzaki discloses an information transmitting apparatus, which transmits a plurality of signals (see Figure 1), said signals including at least video signals and audio signals (see Column 4, Lines 45-48), to an information receiving apparatus (see Column 9, Lines 24-25 for receiving information at a receiver).

Matsuzaki also discloses a plurality of encoding means for separately encoding each of said video signals and each of said audio signals (see elements 82v and 82a in Figure 2).

Matsuzaki also discloses a first multiplexing means for multiplexing a plurality of pairs of encoded signals (see elements 34a through 34n in Figure 3), each pair of encoded signals having one encoded video signal and one encoded audio signal (see Column 4, Lines 41-50).

Matsuzaki also discloses a second multiplexing means for multiplexing the multiplexed plurality of pairs of encoded video signals and encoded audio signals (see Column 5, Lines 66-67 and Column 6, Lines 1-4).

Matsuzaki also discloses a control means for controlling a multiplexing ratio among the plurality of signals in the second multiplexing means (see Column 5, Lines 38-45 and Column 6, Lines 5-9 for the second multiplexing means being controlled by the control means).

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art, to modify the information receiving system, as taught by Ozkan and Eyer, using the transmitting apparatus, as taught by Matsuzaki, for the purpose of allowing a receiver to easily edit or process the information (see Column 9, Lines 22-24 of Matsuzaki).

Referring to claims 25-27, see the rejection of claim 15, and note that Eyer discloses extracting information of a transmission status of the program information that is included in the program information data separated by the separating means (see Column 11, Lines 10-23 for extracting a field of the program information that states if the status of the transmission is trickle data or demand data).

Referring to claim 28, see the rejection of claim 21 and note that Eyer discloses extracting information of a transmission status of the program information that is included in the program information data separated by the separating means (see Column 11, Lines 10-23 for extracting a field of the program information that states if the status of the transmission is trickle data or demand data).

### ***Conclusion***

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**4. THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

**5.** Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jason P. Salce whose telephone number is (571) 272-7301. The examiner can normally be reached on M-F 9am-6pm.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Miller can be reached on (571) 272-7353. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.



Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Jason P Salce  
Patent Examiner  
Art Unit 2614

September 21, 2005



JOHN MILLER  
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